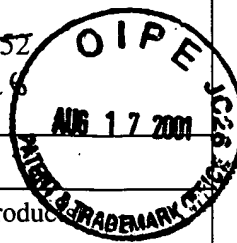


<b>Form PTO-1449</b> (modified) List of Patents and Publications For Applicant's Information Disclosure Statement (Use several sheets if necessary)	ATTY. DKT. NO. 5181-67400  APPLICANT: Slaughter et al.  FILING DATE: August 31, 2000	SERIAL NO. 09/653,614  GROUP: <del>2152</del> 2128
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✓N	B2	Agha, et al., "Actorspaces: An Open Distributed Programming Paradigm," University of Illinois, Report No. UIUCDCS-R-92-1766, Open Systems Laboratory TR NO. 8, pp. 1-12, November 1992.
✓N	B3	Ahmed, et al., "A Program Building Tool for Parallel Applications," Yale University, pp. 1-23, December 1, 1993.
✓N	B4	Aldrich, et al., "Providing Easier Access to Remote Objects in Client-Server Systems," System Sciences, 1998, Proceedings of the 31 <sup>st</sup> Hawaii Internat'l. Conference, Jan. 6-9, 1998, pp. 366-375.
✓N	B5	Aldrich, et al., "Providing Easier Access to Remote Objects in Distributed Systems," Calif. Institute of Technology, www.cs.Caltech.edu/%7Ejedi/paper.html, Nov. 21, 1997.
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List of Patents and Publications  
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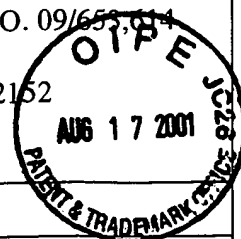
ATTY. DKT. NO. 5181-67400

APPLICANT: Slaughter et al.

FILING DATE: August 31, 2000

SERIAL NO. 09/653,814

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VN	B22	Chung, et al., "A Tiny' Pascal Compiler: Part 1: The P-Code Interpreter," BYTE Publications, Inc., September 1978.
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List of Patents and Publications  
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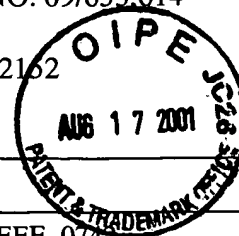
ATTY. DKT. NO. 5181-67400

APPLICANT: Slaughter et al.

FILING DATE: August 31, 2000

SERIAL NO. 09/653,614

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VN	B41	Hamilton, et al., "Subcontract: A Flexible Base for Distributed Programming," Proceedings of 14 <sup>th</sup> Symposium of Operating System Principles, December 1993.
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<b>Form PTO-1449</b> (modified) List of Patents and Publications For Applicant's Information Disclosure Statement (Use several sheets if necessary)		ATTY. DKT. NO. 5181-67400  APPLICANT: Slaughter et al.  FILING DATE: August 31, 2000	SERIAL NO. 09/653,614  GROUP: 2152
<b>OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)</b>			
VN	B60	Yin, et al., "Volume Leases for Consistency in Large-Scale Systems," IEEE Transactions on Knowledge & Data Engineering, Vol. 11, No. 4, pp. 563-576, July/August 1999.	
VN	B61	Mitchell, et al., "An Overview of the Spring System," February 1994.	
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VN	B72	Anonymous, "Change-Notification Service for Shared Filed," IBM Technical Disclosure Bulletin, Vol. 36, No. 8, pages 77-82, XP002109435 New York, US, November 1973.	
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VN	B79	Kay, et al., "An Overview of the Raleigh Object-Oriented Database System," ICL Technical Journal, Vol. 7, No. 4, pp. 780-798, Oxford, GB, November 1991.	

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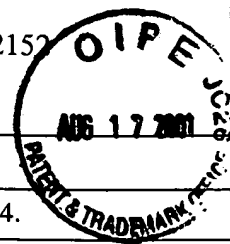
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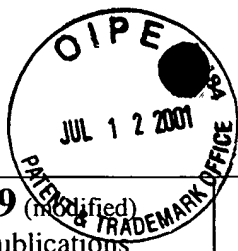
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Information Disclosure Statement--PTO 1449 (modified)



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**Form PTO-1449** (modified)

List of Patents and Publications

For Applicant's Information

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ATTY. DKT. NO. 5181-67400

APPLICANT: Slaughter et al

FILING DATE: August 31, 2000

SERIAL NO. 09/653,614

GROUP: 2152

**U.S. PATENT DOCUMENTS**

EXAM. INITIALS	REF. DES.	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
VN	A1	5,455,952	10/3/95	Gjovaag			
VN	A2	5,386,568	1/31/95	Wold, et al.			
VN	A3	5,423,042	6/6/95	Jalili, et al.			
VN	A4	5,481,721	1/2/96	Serlet, et al.			
VN	A5	5,557,798	9/17/96	Skeen, et al.			
VN	A6	5,944,793	8/31/99	Islam, et al.			
VN	A7	5,946,485	8/31/99	Weeren, et al.			
VN	A8	5,946,694	8/31/99	Copeland, et al.			
VN	A9	4,491,946	1/1/85	Kryshow, Jr., et al.			
VN	A10	4,713,806	12/15/87	Oberlander, et al.			
VN	A11	4,809,160	2/28/89	Mahon, et al.			
VN	A12	4,939,638	7/3/90	Stephenson, et al.			
VN	A13	5,088,036	2/11/92	Ellis, et al.			
VN	A14	4,823,122	4/18/89	Mann, et al.			
VN	A15	4,956,773	9/11/90	Saito, et al.			
VN	A16	5,109,486	4/28/92	Seymour			
VN	A17	5,187,787	2/16/93	Skeen, et al.			
VN	A18	5,218,699	6/8/93	Brandle, et al.			
VN	A19	5,257,369	10/26/93	Skeen, et al.			
VN	A20	5,293,614	3/8/94	Ferguson, et al.			
VN	A21	5,297,283	3/22/94	Kelly, Jr., et al.			
VN	A22	5,307,490	4/26/94	Davidson, et al.			
VN	A23	5,311,591	5/10/94	Fischer			
VN	A24	5,339,435	8/16/94	Lubkin, et al.			
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VN	A26	5,440,744	8/8/95	Jacobson, et al.			

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VN	A27	5,448,740	9/5/95	Kiri, et al.			
VN	A28	5,452,459	9/19/95	Drury, et al.			
VN	A29	5,471,629	11/28/95	Risch			
VN	A30	5,475,792	12/12/95	Stanford, et al.			
VN	A31	5,475,817	12/12/95	Waldo, et al.			
VN	A32	5,504,921	4/2/96	Dev, et al.			
VN	A33	5,511,197	4/23/96	Hill, et al.			
VN	A34	5,524,244	6/4/96	Robinson, et al.			
VN	A35	5,553,282	9/3/96	Parrish, et al.			
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VN	A42	5,603,031	2/11/97	White, et al.			
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VN	A49	5,724,588	3/3/98	Hill, et al.			
VN	A50	5,727,145	3/10/98	Nessett, et al.			
VN	A51	5,617,537	4/1/97	Yamada, et al.			
VN	A52	5,628,005	5/6/97	Hurvig			
VN	A53	5,640,564	6/17/97	Hamilton, et al.			

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VN	A54	5,644,768	7/1/97	Periwal, et al.			
VN	A55	5,652,888	7/29/97	Burgess, et al.			
VN	A56	5,655,148	8/5/97	Richman, et al.			
VN	A57	5,659,751	8/19/97	Heninger			
VN	A58	5,671,225	9/23/97	Hooper, et al.			
VN	A59	5,675,796	10/7/97	Hodges, et al.			
VN	A60	5,737,607	4/7/98	Hamilton, et al.			
VN	A61	5,745,678	4/28/98	Herzberg, et al.			
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VN	A66	5,754,849	5/19/98	Dyer, et al.			
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VN	A68	5,761,656	6/2/98	Ben-Shachar			
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VN	A75	5,787,431	7/28/98	Shaughnessy			
VN	A76	5,790,548	8/4/98	Sistanizadeh, et al.			
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U.S. PATENT DOCUMENTS						
EXAM. INITIALS	REF. DES.	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS
VN	A81	5,815,149	9/29/98	Mutschler, III et al.		
VN	A82	5,815,709	9/29/98	Waldo, et al.		
VN	A83	5,815,711	9/29/98	Sakamoto, et al.		
VN	A84	5,818,448	10/6/98	Katiyar		
VN	A85	5,829,022	10/27/98	Watanabe, et al.		
VN	A86	5,832,219	11/3/98	Pettus		
VN	A87	5,832,529	11/3/98	Wollrath, et al.		
VN	A88	5,832,593	11/10/98	Wurst, et al.		
VN	A89	5,835,737	11/10/98	Sand, et al.		
VN	A90	5,842,018	11/24/98	Atkinson, et al.		
VN	A91	5,844,553	12/1/98	Hao, et al.		
VN	A92	5,845,129	12/1/98	Wendorf, et al.		
VN	A93	5,860,004	1/12/99	Fowlow, et al.		
VN	A94	5,860,153	1/12/99	Matena, et al.		
VN	A95	5,864,862	1/26/99	Kriens, et al.		
VN	A96	5,864,866	1/26/99	Henckel, et al.		
VN	A97	5,872,928	2/16/99	Lewis, et al.		
VN	A98	5,872,973	2/16/99	Mitchell, et al.		
VN	A99	5,875,335	2/23/99	Beard		
VN	A100	5,878,411	3/2/99	Burroughs, et al.		
VN	A101	5,884,024	3/16/99	Lin, et al.		
VN	A102	5,884,079	3/16/99	Furusawa		
VN	A103	5,887,134	3/23/99	Ebrahim		
VN	A104	5,890,158	3/30/99	House, et al.		
VN	A105	5,892,904	4/6/99	Atkinson, et al.		
VN	A106	5,933,497	8/3/99	Beetcher, et al.		
VN	A107	5,935,249	8/10/99	Stern, et al.		

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information  
statement  
(if necessary)

**PIPE** JUL 12 2001  
PATENT & TRADEMARK OFFICE

PATENT NUMBER  
5,940,827

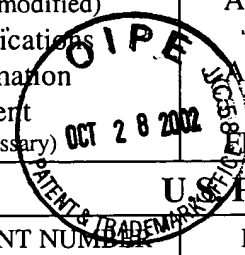
VAN NGUYEN

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Information Disclosure Statement--PTO 1449 (modified)

#9

<b>Form PTO-1449</b> (modified) List of Patents and Publications For Applicant's Information Disclosure Statement (Use several sheets if necessary)	ATTY. DKT. NO. 5181-67400  APPLICANT: Slaughter et al  FILING DATE: August 31, 2000	SERIAL NO. 09/653,614  GROUP: 2151
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**U.S. PATENT DOCUMENTS**

EXAM. INITIALS	REF. DES.	DOCUMENT NUMBER	DATE	Inventors	CLASS	SUB CLASS	TRANSLATION YES/NO
VN	D1	6,324,566	11/27/01	Himmel, et al.	RECEIVED OCT 29 2002 Technology Center 2100		
VN	D2	6,332,062	12/18/01	Phillips, et al.			
VN	D3	6,405,175	6/11/02	Ng			
VN	D4	5,649,186	7/15/97	Ferguson			

**FOREIGN PATENT DOCUMENTS**

EXAM. INITIALS	REF. DES.	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRANSLATION YES/NO
	D5	892 530	1/20/99	EP			

**OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)**

VN	D6	Morgenthaler, et al., U.S. Publication No. 2002/0032677, Published March 14, 2002, entitled "Methods for Creating, Editing, and Updating Searchable Graphical Database and Databases of Graphical Images and Information and Displaying Graphical Images From a Searchable Graphical Database or Databases in a Sequential or Slide Show Format".					
VN	D7	Granik, et al., U.S. Publication No. 2002/0010757, Published January 24, 2002, entitled "Method and Apparatus for Replacement of On-Line Advertisements".					
VN	D8	Do Rosario Botelho, et al., U.S. Publication No. 2002/0069105, Published June 6, 2002, entitled "Data Processing System for Targeted Content".					
VN	D9	Addante, U.S. Publication No. 2002/0004733, Published January 10, 2002, entitled "Method and Apparatus for Transaction Tracking Over a Computer Network".					
VN	D10	Ullman, et al., U.S. Publication No. 2002/0038344, Published March 28, 2002, entitled "Enhanced Video Programming System and Method for Incorporating and Displaying Retrieved Integrated Internet Information Segments".					
VN	D11	Uppaluru, U.S. Publication No. 2002/0080927, Published June 27, 2002, entitled "System and Method for Providing and Using Universally Accessible Voice and Speech Data Files".					
VN	D12	XP-002211482, "Federating and Administering Lookup Services", pp. 320-329, 405-419, 635-656, June 1999.					
VN	D13	XP-002212134, "The Search API's", pp. 297-305, 320-329 June 1999.					
VN	D14	"Network Nirvana and the Intelligent Device", Spotlight, XP-002927392, pp. 16-19, April 1999.					
VN	D15	"Behavioral Specification Using XML", Mckee and Marshall, IEEE, pp. 53-59, 1999.					
VN	D16	"JavaSpaces", Chapter 15, XP-002212109, pp. 636-657, June 1999.					

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Information Disclosure Statement--PTO 1449 (modified)

#5

<b>Form PTO-1449</b> (modified) List of Patents and Publications For Applicant's Information Disclosure Statement (Use several sheets if necessary)	ATTY. DKT. NO. 5181-67400	SERIAL NO. 09/653,614
	APPLICANT: Slaughter et al.	GROUP: <del>2152</del> 2126
	FILING DATE: August 31, 2000	#5

## U.S. PATENT DOCUMENTS

EXAM. INITIALS	REF. DES.	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRANSLATION YES/NO
✓N	D1	5,778,187	7/7/98	Monteiro, et al.			
✓N	D2	5,832,529	11/3/98	Wollrath, et al.			
✓N	D3	6,016,500	1/18/00	Waldo, et al.			

## FOREIGN PATENT DOCUMENTS

EXAM. INITIALS	REF. DES.	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRANSLATION YES/NO
✓N	C1	11-45187	10/10/97	JP			
✓N	C2	2 253 079	8/26/92	GB			
✓N	C3	2 262 825	6/30/93	GB			
✓N	C4	2 305 087	3/26/97	GB			
✓N	C5	300 516	1/25/89	EP			
✓N	C6	351 536	1/24/90	EP			
✓N	C7	384 339	8/29/90	EP			
✓N	C8	472 874	3/4/92	EP			
✓N	C9	474 340	3/11/92	EP			
✓N	C10	497 022	8/5/92	EP			
✓N	C11	555 997	8/18/93	EP			
✓N	C12	565 849	10/20/93	EP			
✓N	C13	569 195	11/10/93	EP			
✓N	C14	697 655	2/21/96	EP			
✓N	C15	660 231	6/28/95	EP			
✓N	C16	651 328	5/3/95	EP			
✓N	C17	625 750	11/23/94	EP			
✓N	C18	718 761	6/26/96	EP			
✓N	C19	767 432	4/9/97	EP			
✓N	C20	778 520	6/11/97	EP			
✓N	C21	794 493	9/10/97	EP			

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7/16/03

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<b>Form PTO-1449</b> (modified) List of Patents and Publications For Applicant's Information Disclosure Statement (Use several sheets if necessary)		A1TY. DKT. NO. 5181-67400  APPLICANT: Slaughter et al.  FILING DATE: August 31, 2000		SERIAL NO. 09/653,614  GROUP: 2152			
<b>FOREIGN PATENT DOCUMENTS</b>							
EXAM. INITIALS	REF. DES.	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRANSLATION YES/NO
✓N	C22	803 810	10/29/97	EP			
✓N	C23	803 811	10/29/97	EP			
✓N	C24	805 393	11/5/97	EP			
✓N	C25	810 524	12/3/97	EP			
✓N	C26	817 020	1/7/98	EP			
✓N	C27	817 022	1/7/98	EP			
✓N	C28	817 025	1/7/98	EP			
✓N	C29	836 140	4/15/98	EP			
✓N	C30	92/07335	4/30/92	WO			
✓N	C31	92/09948	6/11/92	WO			
✓N	C32	93/25962	12/23/93	WO			
✓N	C33	94/03855	2/17/94	WO			
✓N	C34	96/10787	4/11/96	WO			
✓N	C35	96/03692	2/8/96	WO			
✓N	C36	96/18947	6/20/96	WO			
✓N	C37	96/24099	8/8/96	WO			
✓N	C38	98/02814	1/22/98	WO			
✓N	C39	98/04971	2/5/98	WO			
<b>OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)</b>							
✓N	C40	Jaworski, "Java 1.1 Developer's Guide, 2 <sup>nd</sup> Edition," Sams.net, 1997.					
✓N	C41	Coulouris, et al., "Distributed Systems Concepts and Designs," Second Edition, Addison-Wesley, 1994.					
✓N	C42	Mullender, "Distributed Systems," Second Edition, Addison-Wesley, 1993.					
✓N	C43	Lindholm, et al., "The Java <sup>TM</sup> Virtual Machine Specification," Addison Wesley, 1996.					
✓N	D4	"SOAP: Simple Object Access Protocol," msdn online Web Workshop, Microsoft, April 26, 2000, <a href="http://msdn.Microsoft.com/xml/general/soapspec.asp">http://msdn.Microsoft.com/xml/general/soapspec.asp</a> , 34 pages.					
✓N	D4	Rob Guth, "Sun tries on JcaSpaces for Distributed OS," August 1997, Vol. 19, Issue 34, 2 pages.					
✓N	D6	Microsoft, "Microsoft.NET: Realizing the Next Generation Internet," A Microsoft White Paper, June 2000, 8 pages.					

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7/16/03

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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor(s): Slaughter et al

Serial No.: 09/653,614

Filing Date: 08/31/00

Title: MECHANISM AND APPARATUS FOR URI-ADDRESSABLE REPOSITORIES  
OF SERVICE ADVERTISEMENTS AND OTHER CONTENT IN A  
DISTRIBUTED COMPUTING ENVIRONMENT

Atty. Docket No. 5181-67400; P4447

The date stamp of the mail room of the U.S. Patent and Trademark Office hereon will acknowledge receipt of the attached 1) Information Disclosure Statement w/accompanying Form PTO-1449, references C1 - C43, and D1 - D9; and 2) Return Postcard.

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date: Sept. 11, 2001



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page #9*

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<b>Form PTO-1449</b> (modified) List of Patents and Publications For Applicant's Information Disclosure Statement (Use several sheets if necessary)			ATTY. DKT. NO. 5181-67400  APPLICANT: Slaughter et al  FILING DATE: August 31, 2000		SERIAL NO. 09/653,614  GROUP: 2151		
<b>U.S. PATENT DOCUMENTS</b>							
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	D2	6,332,062	12/18/01	Phillips, et al.			
	D3	6,405,175	6/11/02	Ng			
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<b>FOREIGN PATENT DOCUMENTS</b>							
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	D7	Granik, et al., U.S. Publication No. 2002/0010757, Published January 24, 2002, entitled "Method and Apparatus for Replacement of On-Line Advertisements".					
	D8	Do Rosario Botelho, et al., U.S. Publication No. 2002/0069105, Published June 6, 2002, entitled "Data Processing System for Targeted Content".					
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	D11	Uppaluru, U.S. Publication No. 2002/0080927, Published June 27, 2002, entitled "System and Method for Providing and Using Universally Accessible Voice and Speech Data Files".					
	D12	XP-002211482, "Federating and Administering Lookup Services", pp. 320-329, 405-419, 635-656, June 1999.					
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	D15	"Behavioral Specification Using XML", Mckee and Marshall, IEEE, pp. 53-59, 1999.					
	D16	"JavaSpaces", Chapter 15, XP-002212109, pp. 636-657, June 1999.					

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Information Disclosure Statement--PTO 1449 (modified)

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor(s): Slaughter et al  
Assignee: Sun Microsystems, Inc.  
Serial No.: 09/653,614  
Filing Date: August 31, 2000

Title: MECHANISM AND APPARATUS FOR URI-ADDRESSABLE  
REPOSITORIES OF SERVICE ADVERTISEMENTS AND OTHER  
CONTENT IN A DISTRIBUTED COMPUTING ENVIRONMENT



Atty. Docket No. 5181-67400/P4447

The date stamp of the mail room of the U.S. Patent and Trademark Office hereon will acknowledge receipt of the attached 1) Information Disclosure Statement w/accompanying Form PTO-1449, references D1 - D20; and 2) Return Postcard.

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date: Oct. 25, 2002